

# Fermionic phase transition induced by the effective impurity in holography

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## Abstract

We investigate the holographic fermionic phase transition induced by the effective impurity in holography, which is introduced by massless scalar fields in Einstein-Maxwell-massless scalar gravity. We obtain a phase diagram in  $(\alpha, T)$  plane separating the Fermi liquid phase and the non-Fermi liquid phase.

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## I. INTRODUCTION

Holographic duality (gauge/gravity correspondence) [1–3] is a particular kind of strong/weak duality, which gives us a powerful method to study strongly correlated systems in condensed matter physics via the study of the black hole physics with one extra dimension. Especially, it provides a novel way to explore the strongly correlated fermionic system.

The early works [4–8] on this subject have made efforts on understanding the mysterious behaviors of the existing non-Fermi liquid which is named as “holographic non-Fermi liquid”. In detail, by studying the evolution of the bulk Dirac equation in the RN-AdS black hole with the ingoing boundary condition at the horizon, the fermionic correlator can be extracted at the AdS boundary holographically. This proposal attracts many interests in the related topics. Some endeavors have been made to generalize the investigations of the probe fermion to the general charged Gauss-Bonnet black hole, charged dilatonic black hole, charged Lifshitz black hole and so on[9–15]. One also implemented the holographic non-relativistic fermionic fixed points by imposing the Lorentz violating boundary condition, which results in an infinite flat band in the dual boundary field theory[16–18]. By introducing the interaction between Dirac field and gauge field, it was disclosed that the dual liquid can transform from the Fermi liquid to non-Fermi liquid and also to the Mott insulating phase[19–24]. The properties of the fermionic spectral function including lattice effects, which is introduced in [25–27], has been explored in [28, 29]. It shows some crucial characteristics, including periodic structure and Brillouin zones, which can be compared with the results of Angle-resolved photoemission spectroscopy (ARPES) or Scanning tunneling microscopy (STM), in real condensed physical system.

In real condensed matter system, another crucial role is impurity. The physical properties of materials will drastically change when impurity is added. A famous example is the cuprates, which exhibits Mott insulating phase, non-Fermi liquid phase and Fermi liquid phase as the doping levels change. Another well-known example is the Kondo effect that when the magnetic impurity is introduced, the resistance increases logarithmically with the decrease of the temperature. In holography, impurity can be introduced in Einstein-Maxwell-massless scalar gravity [30, 31], in which it is implemented by spatially dependent

sources for scalar field operators with being linear in coordinates<sup>1</sup>. By introducing an extra charged complex scalar field, the authors of [34, 35] built a holographic superconductor with momentum relaxation. They found a new type of superconductor induced by the effective impurity  $\alpha$  even at vanishing chemical potential  $\mu = 0$ . More interestingly, the effective impurity  $\alpha$  in holography can induce a coherent/incoherent metal transition [31, 36]. The coherent metal has a standard Drude peak, which is usually described by Fermi liquid theory, while the incoherent metal follows non-Drude behavior, which complies with non-Fermi liquid theory. This motivates us to investigate the fermionic response with impurity in holography.

Subsequently, we will shortly review the simple holographic model of momentum relaxation [30, 31] and discuss its near horizon geometry at any temperature in section II. Then the equation of motion and Green function on this background are derived. Afterwards, we exhibit our numerical results and discuss Fermi surface structure and phase diagram induced by the effective impurity parameter  $\alpha$ . Finally, our results are summarized in section V.

## II. EINSTEIN-MAXWELL GRAVITY WITH MASSLESS SCALAR FIELD SOURCES

We start with a brief review of the bulk Einstein-Maxwell gravity accompanied with massless scalar field sources and analyze its near horizon geometry.

### A. Background geometry with scalar field sources

We combine the action of free massless scalars together with the Einstein-Maxwell action in  $d + 1$  dimension [31],

$$S = \frac{1}{2\kappa^2} \int_M d^{d+1}x \sqrt{-g} \left[ R - 2\Lambda - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} - \frac{1}{2} \sum_I^{d-1} (\partial \Psi_I)^2 \right] - \frac{1}{2\kappa^2} \int_{\partial M} d^d x \sqrt{-\gamma} 2K, \quad (1)$$

where  $\Lambda = -d(d-1)/(2L^2)$  and the field strength  $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$  for a  $U(1)$  gauge field. The second term is the Gibbons-Hawking term where  $\gamma$  is the induced metric on the boundary and  $K$  is the trace of the extrinsic curvature.

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<sup>1</sup> Impurity is also implemented by disorder in holography. For instance, one can refer to [32, 33].

For simplicity, we choose the gravitational coupling  $2\kappa^2 = 16\pi G_{d+1}$  and the AdS radius  $L$  as unity. The equations of motion can be easily obtained as

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu} \left( R - 2\Lambda - \frac{1}{4}F_{\mu\nu}F^{\mu\nu} - \frac{1}{2}\sum_{I=1}^{d-1}(\partial\Psi_I)^2 \right) - \frac{1}{2}\sum_{I=1}^{d-1}\partial_\mu\Psi_I\partial_\nu\Psi_I - \frac{1}{2}F_\mu{}^\rho F_{\nu\rho} = 0, \quad (2)$$

$$\nabla_\mu F^{\mu\nu} = 0, \quad \nabla^2\Psi_I = 0. \quad (3)$$

The equations of motions admit the following isotropic solution of various fields <sup>2</sup>

$$ds^2 = -f(r)dt^2 + \frac{dr^2}{f(r)} + r^2\sum_{i=1}^{d-1}dx^idx^i,$$

$$f = r^2 - \frac{\alpha^2}{2(d-2)} - \frac{m_0}{r^{d-2}} + \frac{Q^2}{r^{2(d-2)}},$$

$$A = \mu \left( 1 - \frac{r_0^{d-2}}{r^{d-2}} \right) dt, \quad \Psi_I = \alpha_{Ii}x^i = \alpha\delta_{Ii}x^i, \quad (4)$$

where

$$m_0 = r_0^d \left( 1 + \frac{d-2}{2(d-1)} \frac{\mu^2}{r_0^2} - \frac{1}{2(d-2)} \frac{\alpha^2}{r_0^2} \right), \quad (5)$$

$$Q^2 = \frac{(d-2)\mu^2 r_0^{2(d-2)}}{2(d-1)}, \quad (6)$$

and  $\alpha^2 \equiv \frac{1}{d-1} \sum_{i=1}^{d-1} \vec{\alpha}_i \cdot \vec{\alpha}_i$  with the vector notation  $(\vec{\alpha}_i)_I = \alpha_{Ii}$  and  $\vec{\alpha}_i \cdot \vec{\alpha}_j = \sum_I \alpha_{Ii} \alpha_{Ij}$ <sup>3</sup>. We can see that due to the presence of the spatial dependence in the massless scalar field, the full solution is not isotropic and homogeneous, though the metric is isotropic. Note that the anisotropic solutions with only one scalar field has been studied in [40, 41]. The parameter  $\alpha$  mimics the strength of the translational symmetry, which means the momentum relaxation in the dual theory. Note that when  $\alpha = 0$ , the solution goes back to the RN-AdS black hole dual to the field theory with translational invariance.

The temperature and entropy density of the black hole are given by

$$T = \frac{f'(r_0)}{4\pi} = \frac{dr_0}{4\pi} \left( 1 - \frac{\alpha^2}{2dr_0^2} - \frac{(d-2)^2 Q^2}{dr_0^{2d-2}} \right), \quad (7)$$

$$s = 4\pi r_0^{d-1}. \quad (8)$$

<sup>2</sup> Note that it was addressed in [31] that this solution is highly similar to the solution proposed in massive gravity[37–39].

<sup>3</sup> It is worthy pointing out that in order to consider the CFT dual to the anisotropic system, we have to focus on the dimension  $d \geq 3$ . Specially, in the background with dimension  $d = 1$ , a logarithmic divergence will appear and  $\alpha$  cannot be introduced into the action.

The solution is fully characterized by  $T$ ,  $\mu$  and  $\alpha$ . For a given chemical potential and temperature, we can determine the value of  $\alpha$ . This model can be seen as the case of the long wavelength limit of Q-lattice model [26]. But an periodic lattice structure is absent because the scalar source is linearly dependent on the spatial coordinates. Therefore, it is more reasonable to treat  $\alpha$  as impurity, as illustrated in [31, 36].

## B. Near horizon geometry

In order to study the near horizon geometry at any temperature, we will introduce a length scale  $r_*$  by parameterizing  $Q$  with dimension  $d-1$  as

$$Q = \sqrt{1 - \frac{\alpha^2}{2dr_0^2}} \sqrt{\frac{d}{d-2}} r_*^{d-1}. \quad (9)$$

Then the temperature can be written as

$$T = \frac{dr_0}{4\pi} \left(1 - \frac{\alpha^2}{2dr_0^2}\right) \left(1 - \left(\frac{r_*}{r_0}\right)^{2d-2}\right). \quad (10)$$

We can see that  $r_*$  is equal to the horizon radius  $r_0$  at zero temperature and smaller than the radius  $r_0$  at finite temperature. To work out the near horizon geometry, we consider the scaling limits[4]

$$r - r_* = \omega \frac{L_2^2}{\zeta}, \quad , r_0 - r_* = \omega \frac{L_2^2}{\zeta_0}, \quad t = \omega^{-1} \tau \quad (11)$$

with  $\omega \rightarrow 0$ ,  $\zeta$  and  $\tau$  finite.  $L_2$  is the curvature radius of  $AdS_2$  geometry whose expression will be shown later.

Under the above transformation, the leading term of the redshift factor  $f$  in (4) is

$$f = \omega^2 L_2^2 \left( \frac{1}{\zeta^2} - \frac{1}{\zeta_0^2} \right) \quad (12)$$

with the curvature radius  $L_2^2 = \frac{1}{d(d-1)} \frac{(d-1)\alpha^2 + (d-2)^2\mu^2}{\alpha^2 + (d-2)^2\mu^2}$ . Thus, metric near horizon becomes

$$ds^2 = \frac{L_2^2}{\zeta^2} \left[ - \left(1 - \frac{\zeta^2}{\zeta_0^2}\right) d\tau^2 + \frac{1}{\left(1 - \frac{\zeta^2}{\zeta_0^2}\right)} d\zeta^2 \right] + r_*^2 \sum_{i=1}^{d-1} dx^i dx^i. \quad (13)$$

and the temperature is  $T = \frac{1}{2\pi\zeta_0}$ . The zero temperature limit will be reached as  $\zeta_0 \rightarrow \infty$  so that the formulation of metric can be deduced from Eq. (13) as

$$ds^2 = \frac{L_2^2}{\zeta^2} (-d\tau^2 + d\zeta^2) + r_*^2 \sum_{i=1}^{d-1} dx^i dx^i. \quad (14)$$

which is the well known  $AdS_2 \times \mathbb{R}^{d-1}$  metric at zero temperature.

### III. HOLOGRAPHIC FERMIONIC IMPLEMENTATION

#### A. The flow equation

In order to study the Fermionic theory dual to this bulk theory, we consider a probe spinor field with the charge  $q$  and mass  $m$ , which is dual to fermionic operator  $\mathcal{O}$  in boundary  $CFT_d$  with conformal dimension  $\Delta = m + \frac{d}{2}$ . The action of spinor field is given by

$$S_F = \int d^{d+1}x \sqrt{-g} i \bar{\psi} \left( \Gamma^a D_a - m \right) \psi, \quad (15)$$

where  $\Gamma^a = (e_\mu)^a \Gamma^\mu$  and the covariant derivative  $D_a = \partial_a + \frac{1}{4}(\omega_{\mu\nu})_a \Gamma^{\mu\nu} - iq_i A_a$  with  $\Gamma^{\mu\nu} = \frac{1}{2}[\Gamma^\mu, \Gamma^\nu]$ , the spin connection  $(\omega_{\mu\nu})_a = (e_\mu)^b \nabla_a (e_\nu)_b$ , where  $(e_\mu)^a$  forms a set of orthogonal normal vector bases. From the above Eq. (15), the equation of motion of Dirac field can be written as

$$\Gamma^a D_a \psi - m \psi = 0. \quad (16)$$

In order to investigate the Dirac equation in the Fourier space, we use the translational invariance  $\psi = \int d\omega dk e^{-i\omega t + i k_i x^i} \psi$ . Then, we can rescale the Dirac field by  $\psi = (-g g^{rr})^{-\frac{1}{4}} \phi$ , and remove the spin connection completely in the Dirac equation. Considering the rotation symmetry in the spatial directions, we can simply set  $k_i = k_x$ . Then, it is convenient to consider the following basis

$$\Gamma^r = \begin{pmatrix} -\sigma^3 \mathbf{1} & 0 \\ 0 & -\sigma^3 \mathbf{1} \end{pmatrix}, \quad \Gamma^t = \begin{pmatrix} i\sigma^1 \mathbf{1} & 0 \\ 0 & i\sigma^1 \mathbf{1} \end{pmatrix}, \quad \Gamma^x = \begin{pmatrix} -\sigma^2 \mathbf{1} & 0 \\ 0 & \sigma^2 \mathbf{1} \end{pmatrix}, \quad \phi = \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix} \quad (17)$$

where  $\phi_I$  are two-component spinors,  $\mathbf{1}$  is an identity matrix of size  $2^{\frac{d-3}{2}}$  for odd  $d$  (or  $2^{\frac{d-4}{2}}$  for even  $d$ ). By taking the above operations, the Dirac equation (16) can be written as

$$\frac{\sqrt{g_{xx}}}{\sqrt{g_{rr}}} \partial_r \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix} + \sqrt{g_{xx}} m \sigma^3 \otimes \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix} = \frac{\sqrt{g_{xx}}}{\sqrt{g_{tt}}} (\omega + q A_t) i \sigma^2 \otimes \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix} \mp k \sigma^1 \otimes \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix} \quad (18)$$

Furthermore, by setting  $\phi_I = \begin{pmatrix} y_I \\ z_I \end{pmatrix}$  and introducing the ratios  $\xi_I = \frac{y_I}{z_I}$ , the equation of motion can be decoupled and reduced to

$$\left( \frac{\sqrt{g_{xx}}}{\sqrt{g_{rr}}} \partial_r + 2\sqrt{g_{xx}} m \right) \xi_I = \left[ \frac{\sqrt{g_{xx}}}{\sqrt{g_{tt}}} (\omega + q A_t) + (-1)^I k \right] + \left[ \frac{\sqrt{g_{xx}}}{\sqrt{g_{tt}}} (\omega + q A_t) - (-1)^I k \right] \xi_I^2, \quad (19)$$

The in-falling boundary condition at the horizon for  $\omega \neq 0$  is

$$\xi_I \stackrel{r \rightarrow r_0}{\rightarrow} i. \quad (20)$$

## B. Near boundary and boundary conditions

Near boundary  $r \rightarrow \infty$ , the metric has the behavior as

$$g_{tt} \rightarrow r^2, \quad g_{rr} \rightarrow \frac{1}{r^2}, \quad g_{ii} \rightarrow r^2. \quad (21)$$

Substituting the near boundary metric into Eq. (18), we have

$$\left[ r^2 \partial_r + rm\sigma^3 - (\omega + q\mu)i\sigma^2 - (-1)^I k\sigma^1 \right] \phi_I = 0. \quad (22)$$

Therefore, the Dirac equation becomes

$$(r^2 \partial_r + rm\sigma^3) \phi_I = 0. \quad (23)$$

The solution of the Dirac equation near the AdS boundary is

$$\phi_I \xrightarrow{r \rightarrow \infty} a_I r^{-m} \begin{pmatrix} 1 \\ 0 \end{pmatrix} + b_I r^m \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \quad (24)$$

where  $a_I$  and  $b_I$  can be regarded as the response and the source, respectively. Then, we suppose the source and the response in Eq. (24) are related by

$$a_I \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \mathcal{S} b_I \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \quad (25)$$

the boundary Greens functions  $G(\omega, k)$  is given by [8]

$$G = -i\mathcal{S}\gamma^0. \quad (26)$$

Recalling the definition of  $\xi_I = \frac{y_I}{z_I}$ , we can write the Green function as

$$G(\omega, k) = \lim_{r \rightarrow \infty} r^{2m} \begin{pmatrix} \xi_1 & 0 \\ 0 & \xi_2 \end{pmatrix}, \quad (27)$$

and the behavior of  $\xi_I$  near the boundary is

$$\xi_I \xrightarrow{r \rightarrow \infty} r^{-2m} G_{II}. \quad (28)$$

### C. Spinor field at the near-horizon geometry and the dispersion relation

Near the horizon region, the geometry approaches  $AdS_2 \times \mathbb{R}^{d-1}$  which is controlled by Eq. (13). Substituting Eq. (13) into Eq. (18), the Dirac equation Eq. (18) can be expressed as

$$\partial_\zeta \phi_I(\zeta) = \frac{L_2}{\zeta} \left( \frac{\zeta_0}{\zeta_0 - \zeta} \right) m \sigma^3 \phi_I(\zeta) - i \left[ \left( \frac{\zeta_0}{\zeta_0 - \zeta} \right)^2 + \frac{\mu q (d-2) L_2^2}{r_* \zeta} \left( \frac{\zeta_0}{\zeta_0 - \zeta} \right) \right] \sigma^2 \phi_I(\zeta) - (-1)^I \frac{k}{r_*} \frac{L_2}{\zeta} \left( \frac{\zeta_0}{\zeta_0 - \zeta} \right) \sigma^1 \phi_I(\zeta). \quad (29)$$

Near the  $AdS_2$  boundary  $\zeta \rightarrow 0$ , the above equation can be simplified into

$$\zeta \partial_\zeta \phi_I(\zeta) = -U \phi_I(\zeta), \quad U = \begin{pmatrix} mL_2 & \tilde{m}_I L_2 - \frac{\mu q (d-2) L_2^2}{r_*} \\ \tilde{m}_I L_2 + \frac{\mu q (d-2) L_2^2}{r_*} & -m L_2 \end{pmatrix}, \quad (30)$$

with  $\tilde{m}_I = -(-1)^I \frac{k}{r_*}$ . Following the discussion in [4], equation (30) is nothing but the equation of motion for spinor fields in the  $AdS_2$  background with masses  $[m, \tilde{m}_I]$  with  $\tilde{m}_I$  ( $I = 1, 2$ ) the time-reversal violating mass terms. In this case, the spinor field is dual to a spinor operators in the IR  $CFT_1$  whose conformal dimensions of the operator are  $\delta = \nu_k + \frac{1}{2}$  with

$$\nu_k = \sqrt{m^2 L_2^2 + \tilde{m}_I^2 L_2^2 - \left( \frac{\mu q (d-2) L_2^2}{r_*} \right)^2}. \quad (31)$$

For the real  $\nu_k$ , the scaling exponent  $z$  of dispersion relation of our UV Green function  $\text{Im}G_{22}$  can be determined by[6]

$$z = \begin{cases} 1 & \text{with } \nu_{k_F} > \frac{1}{2} \\ \frac{1}{2\nu_{k_F}} & \text{with } \nu_{k_F} < \frac{1}{2} \end{cases} \quad (32)$$

where  $\nu_{k_F}$  can be obtained from Eq. (31) at  $k = k_F$ . The scaling exponent  $z$  contains important property of the Green function near the Fermi momentum  $k_\perp = k - k_F$  and it describes the excitation of dispersion relation

$$\omega_*(k_\perp) \sim k_\perp^z, \quad (33)$$

where  $\omega_*(k_\perp)$  is the real part of the complex frequency at which the Green function has a pole[5]. After determining the Fermi momentum from numerical calculation, we can analytically compute the scaling exponent  $z$  of the dispersion relation through Eq. (31) and Eq. (32). Note that  $z = 1$  is an necessary character for the Fermi liquid. So in the following discussion,  $z$  moves from 1 to other values should be the distinguishing feature for the phase transition from Fermi liquid to non-Fermi liquid.



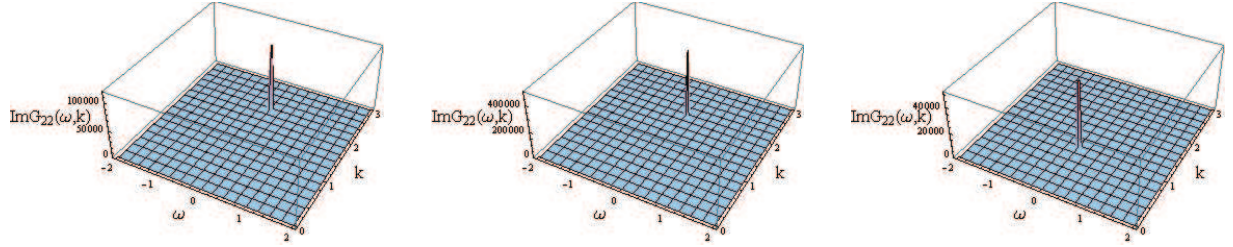


FIG. 1: The 3D plot of Green function with  $\alpha = 0$ (left),  $\alpha = 1$ (middle) and  $\alpha = 2$ (right), respectively.

#### IV. FERMI SURFACE AND PHASE DIAGRAM

In this section, we shall study the Fermi surface structure and the phase diagram  $(\alpha, T)$ . We will demonstrate that the transition from the Fermi liquid to the non-Fermi liquid happens when the impurity parameter  $\alpha$  becomes larger. In numerical calculation, we only focus on the Green's function  $G_{22}(\omega, k)$  due to the symmetry  $G_{22}(\omega, k) = G_{11}(\omega, -k)$ , which can be easily analyzed from Eq. (19) and the boundary condition Eq. (20). We set  $m = 0$ ,  $q = 1$  in most of our study unless we emphasize different setting. In addition, in the following discussion, we can set  $r_0 = 1$  by rescaling.

##### A. Results at zero temperature

In this subsection, we will study the Fermi surface structure at zero temperature. We discuss the case with  $d = 3$  as the first step. From Fig. 1, we can see that a sharp quasi particle like peak emerges near  $\omega = 0$  for different  $\alpha$ , which denotes the Fermi surface. By definition, we can determine the Fermi momentum  $k_F$  by locating the position of the maximal value of  $ImG_{22}$  near  $\omega = 0$  as shown in Fig. 2. We list some Fermi momentum  $k_F$  with different  $\alpha$  in Table I. We can see that with the increase of  $\alpha$ , the Fermi momentum  $k_F$  becomes smaller. After determining numerically the Fermi momentum  $k_F$ , the scaling exponent  $z$  of the dispersion relation can be calculated by Eq. (32) analytically. The results have also been summarized in Table I.

The effect of the dimension  $d$  is explicitly reflected in the flow equation (31). With careful numerical calculation, we list the results with different dimensions in Table I. For the same  $\alpha$ , Fermi momentum is suppressed in higher dimensional dual theory. In addition, the scaling

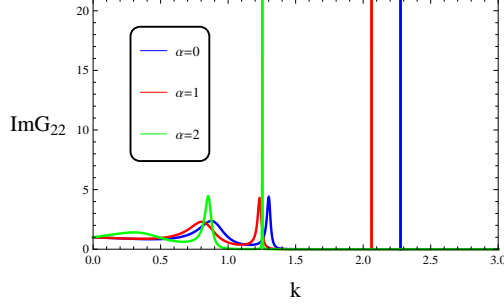


FIG. 2: Green function at tiny frequency with different  $\alpha$ .

$d + 1$	4			5			6		
$\alpha$	0	1	2	0	1	2	0	1	2
$k_F$	2.27694628	2.06223335	1.2532708	1.8880638	1.7800883	1.3981258	1.7228794	1.6551679	1.4260239
$\nu_k$	0.728524	0.665326	0.377719	0.361108	0.338395	0.238421	0.220036	0.207121	0.151011
$z$	1	1	1.32373	1.38463	1.47756	2.09713	2.27236	2.41405	3.31103

TABLE I:  $k_F$ ,  $\nu_k$  and  $z$  with different dimension and  $\alpha$  ( $T = 0$ ).

exponent  $z$  of the dispersion relation decreases with the decrease of the spacetime dimension, which agrees well with the observation in Charged Gauss-Bonnet gravity in [10].

From Table I, an interesting result is that the transition from Fermi liquid phase to the non-Fermi liquid phase happens when the impurity  $\alpha$  is beyond some critical value. This motivates us to turn on the temperature and draw the phase transition diagram of  $\alpha - T$ .

## B. Results at finite temperature

Now, we turn to explore the Fermi surface structure at the finite temperature and plot the phase diagram  $(\alpha, T)$ . Due to the thermal fluctuations at finite temperature, the height of the quasi-particle peak becomes much lower and the width much broader (Fig. 3). Using the same numerical method as the case at zero temperature, we present the Fermi momentum  $k_F$  as the function of  $T$  with different  $\alpha$  in Fig. 4. We can see that with the increase of the temperature, the Fermi momentum  $k_F$  decreases for all  $\alpha$ .

Furthermore, we show the phase diagram  $(\alpha, T)$  for  $d = 3$  in Fig. 5. In Fig. 5, the line denotes the marginal Fermi liquid phase and the left region is the Fermi liquid while the right region corresponds to the non-Fermi liquid. It exhibits a phase transition from Fermi liquid

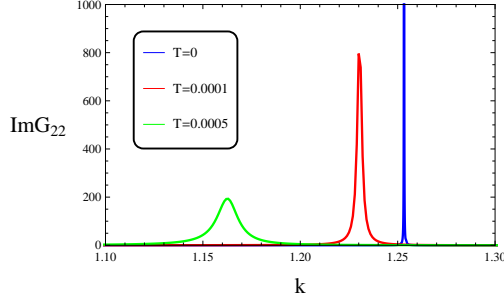


FIG. 3: Green function at different temperatures with  $\alpha = 2$ .

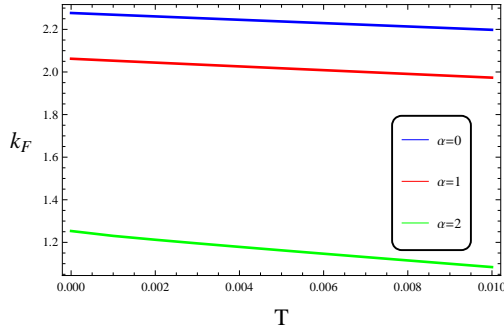


FIG. 4: Fermi momentum versus temperature for  $\alpha = 0$ (Blue),  $\alpha = 1$ (Red) and  $\alpha = 2$ (Green), respectively.

to non-Fermi liquid induced by the effective impurity  $\alpha$ , which is qualitatively consistent with the picture that a coherent/incoherent metal transition induced by  $\alpha$  in [31]. We would like to point out that the phase diagram  $(\alpha, T)$  exhibiting in Fig. 5 seems to be opposite to the one relating to the doping drawn in the high- $T_c$  cuprate superconductors[42]. This phenomenon somehow supports that the impurity  $\alpha$  introduced here may not be considered as doping which is claimed in [43]<sup>4</sup>. At least,  $\alpha$  provides a new mechanism introducing impurity from holography. It would certainly be interesting if such a phase diagram can be observed in some real materials in the future.

As is known that the model parameters  $m$  and  $q$  have effects on the types of dual liquids and the phase transition from Fermi to non-Fermi liquids in the holographic fermionic systems [6–8, 18]. It was addressed that with fermion charge  $q$  increasing, the Fermi momentum increases approximately linearly and the scaling exponent  $z$  of dispersion relation decreases

<sup>4</sup> The authors of [43] treated  $\alpha$  in a more general model [44] as disorder-strength but the existence of Anderson localization needs to be further carefully confirmed.

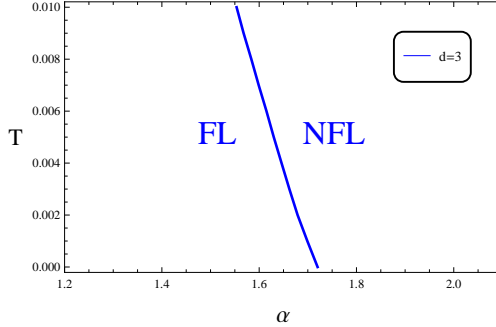


FIG. 5: Phase diagram with  $d = 3$ .

rapidly. Thus, the dual liquid changes from the Landau Fermi liquid type to the non-Fermi liquid is more difficult for larger charge. Here, we find the similar tendency in our model. In the left plot of Fig. 6, we see that in the case with larger charge, the phase transition happens with stronger impurity parameter  $\alpha$ . The reason is why larger charge is harder to make the phase transition happen so that  $q$  suppresses the effect of impurity. We move on to discuss the effect of the mass of fermions. For a given  $\alpha$ , the Fermi momentum  $k_F$  decreases and the scaling exponent increase with the increase of  $m$  which agrees well with the results in [18]. At certain  $\alpha$ , we always find a phase transition from the Fermi liquid to the non-Fermi liquid with fixed mass. From the right plot of Fig. 6, we see that at a fixed temperature, the critical  $\alpha$  is smaller for larger mass, namely that larger mass promotes the phase transition. We also study the phase transition in higher dimension which may affect the type of the dual liquid. An interesting result is that the Fermi liquid phase is forbidden for  $\alpha^2 > 0$  in the  $d = 4$  and  $d = 5$  geometry. And it can be realized when we choose a negative enough  $\alpha^2$ , which enhances the chemical potential of the system with a fixed temperature. However, this means that  $\alpha$  should be imaginary, which should be further understood from holography.

## V. DISCUSSION AND CONCLUSIONS

In this paper, we have explored the Fermi surface and the phase diagram  $(\alpha, T)$  in the holographic framework based on the free probe fermions in Einstein-Maxwell gravity with spacial dependent massless scalar field, which introduces a new impurity effects from holography in the dual field theory. The Fermi momentum was suppressed by the larger impurity

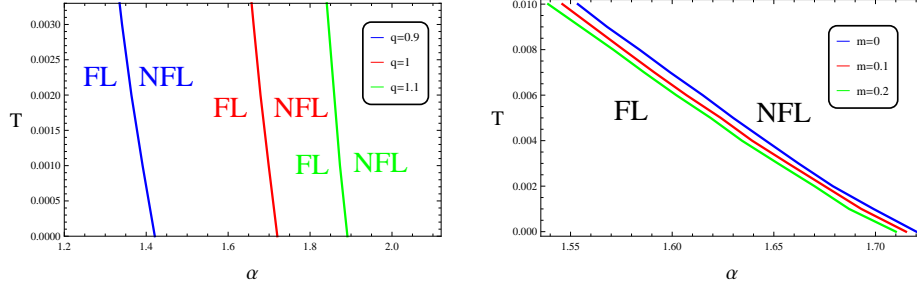


FIG. 6: Left: Phase diagram for  $d = 3$  and  $m = 0$  with different fermion charge. The line denotes Marginal Fermi Liquid(MFL) where  $\nu_k = \frac{1}{2}$  for  $q = 0.9$ (Blue),  $q = 1$ (Red),  $q = 1.1$ (Green). Right: Phase diagram for  $d = 3$  and  $q = 1$  with different fermion mass . The line denotes MFL for  $m = 0$ (Blue),  $m = 0.01$ (Red),  $m = 0.02$ (Green). In each case, the left region of each line denotes Fermi liquid while the right side means non-Fermi liquid.

parameter  $\alpha$  while the conformal dimension of the Dirac field decreases as the impurity  $\alpha$  increases at any temperature. Furthermore, we have demonstrated that the effective impurity parameter  $\alpha$  can induce the phase transition from the Fermi liquid to the Non-Fermi liquid. This phenomenon results from that large positive  $\alpha$  corresponds to lower chemical potential in the dual system with fixed temperature.

Our work is a first step in studying the impurity effects on the fermionic spectral function in holography, in which the influence of the effective impurity or the spatial broken translation symmetry only reflects on the Dirac equation through the metric correction. To gain more insights into the impurity effects of the fermionic spectral function in holography, we can further introduce an interaction between the massless scalar field and the Dirac field. This can produce rich Fermi surface structure, which can be compared with the results of Angle-resolved photoemission spectroscopy (ARPES) or Scanning tunneling microscopy (STM) in some real impurity materials, and more complete phase diagram including Mott insulating phase. The related work is under progress. It is also interesting to consider the non-relativistic Fermionic fixed point to see the effect of the impurity  $\alpha$  on the flat band. We will present our results on this topic elsewhere in the future.

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